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03-28-02

PATENT
Attorney Docket No. 345

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michael Frankel, et al.)

Serial No.: 09/821,422)

| Group Art Unit: 2661

Filed: March 29, 2001)

Examiner: Unassigned

For: METHOD AND SYSTEM FOR PROVIDING
TUNABLE DISPERSION COMPENSATION)

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Technology Center 2600

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

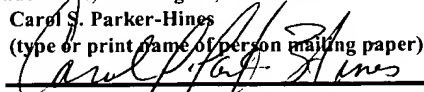
Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the knowledge of the undersigned, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-referenced application.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies these documents as prior art against any claim in the

Certification Under 37 C.F.R. Section 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this 21st day of February, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV051635538US addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Carol S. Parker-Hines
(type or print name of person mailing paper)

(signature of person mailing paper)

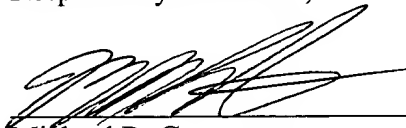
application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the document be applied against the claims of the present application.

Respectfully submitted,

Date: February 21, 2002

By:

A handwritten signature in black ink, appearing to read 'M. Cammarata', written over a horizontal line.

Michael R. Cammarata
Reg. No. 39,491

CIENA Corporation
Legal Department
1201 Winterson Road